



#5

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln of: John L. Cartier  
Ser No: 09/772,172  
Filed: 01/29/2001  
For: Ice Breaker Mat  
Atty. Dkt.: 09221-P01

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

This is in response to the notice to file corrected application papers mailed March 12, 2001.

This notice required:

1. The claim(s) to be on a separate sheet;
2. Substitute drawings with proper margins; and
3. An Abstract on a separate sheet.

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All of the above listed items are filed herewith. The claim on a separate sheet, the Abstract on a separate sheet, the drawing with the proper margins, and a Petition For revival of an Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) are attached hereto.

Also attached is a power of attorney, executed by the inventor, to a practitioners

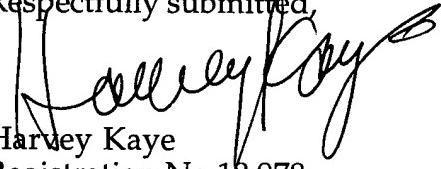


at Customer Number 26486, which includes the undersigned.

A copy of the Notice To File Corrected Application Papers is attached hereto.

It is requested that the attached Petition be granted, the petition fee being attached to these papers.

Respectfully submitted,

  
Harvey Kaye  
Registration No 18,978  
Counsel for Applicant

Perkins, Smith & Cohen, LLP  
One Federal Street  
Boston, Massachusetts 02108

Tel: 617-854-4000

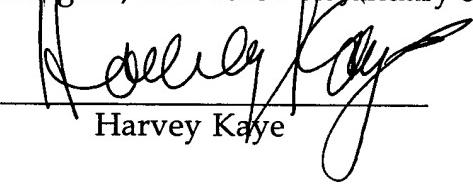
Date: January 8, 2002

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#### CERTIFICATE OF MAILING

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 8, 2002.

  
Harvey Kaye

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,172	01/29/2001	John L. Cartier	

CONFIRMATION NO. 9721

## FORMALITIES LETTER



\*OC00000005848359\*

John L. Cartier  
25 Upland Ave.  
Bradford, MA 01835-4936

Date Mailed: 03/12/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- Abstract must be on a separate sheet.

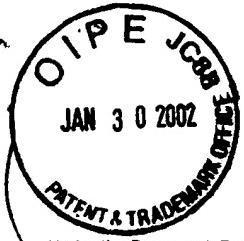
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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DAC \$

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/772,172
		Filing Date	01/29/2001
		First Named Inventor	John L. Cartier
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	11	Attorney Docket Number	09221-P01

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Post Card

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Harvey Kaye
Signature	
Date	01/08/2002

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CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 01/08/2002			
Typed or printed name	Harvey Kaye		
Signature		Date	01/08/2002

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PTO/SB/17 (11-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 640)

## Complete if Known

Application Number	09/772,172
Filing Date	01/29/2001
First Named Inventor	John L. Cartier
Examiner Name	
Group Art Unit	
Attorney Docket No.	09221-P01

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																											
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ADDITIONAL FEES</b> <b>Large Entity/Small Entity</b> <table border="1"> <thead> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216 200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217 460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218 720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228 980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219 160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220 160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221 140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241 640 Petition to revive - unintentional</td><td>640</td></tr> <tr><td>142</td><td>1,280</td><td>242 640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243 230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244 310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126 180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246 370 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249 370 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279 370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169 900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 0)</td> <td colspan="4">SUBTOTAL (3) (\$ 640)</td> </tr> <tr> <td colspan="8">           *or number previously paid, if greater; For Reissues, see above            ** Reduced by Basic Filing Fee Paid         </td> </tr> </tbody> </table>				Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath		127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	400	216 200 Extension for reply within second month		117	920	217 460 Extension for reply within third month		118	1,440	218 720 Extension for reply within fourth month		128	1,960	228 980 Extension for reply within fifth month		119	320	219 160 Notice of Appeal		120	320	220 160 Filing a brief in support of an appeal		121	280	221 140 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,280	241 640 Petition to revive - unintentional	640	142	1,280	242 640 Utility issue fee (or reissue)		143	460	243 230 Design issue fee		144	620	244 310 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Processing fee under 37 CFR 1.17(q)		126	180	126 180 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)		146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279 370 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (1) (\$ 0)				SUBTOTAL (3) (\$ 640)				*or number previously paid, if greater; 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SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Harvey Kaye	Registration No. (Attorney/Agent)	18,978	Telephone 617-854-4000
Signature	<i>Harvey Kaye</i>	Date	01/08/2002	

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### Claim Attachment

1. An icebreaker mat comprising:
- (A) a product made of slip-resistant, non-adhering, water-proof cover material, which contains a flexible core substance of styro-foam, foam, or other material suitable to provide the same results. Sealed so as to provide a water-proof, air tight flexible a stair mat, pad or stair tread attachment.
- (B) once assembled and sealed into one unit, the icebreaker mat will provide a safe and convenient method of eliminating ice from exterior stairs and open walking areas of a porch or landing.
- © although the icebreaker mat has an overall size of 9" X 36" X 1/4", and is set at that dimension so as to provide walking space for two persons, side by side, it is not limited to the size in which it is being presented.

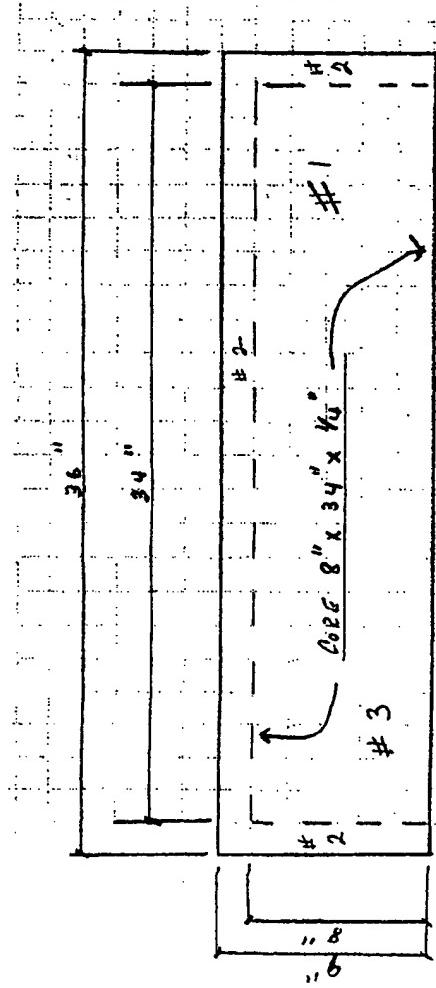
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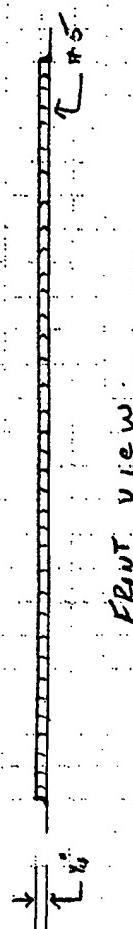
## Abstract Attachment

### Abstract

The icebreaker mat is designed to be a safe and easy alternative to the removal of ice from a door entrance, porch walkway, and exterior steps. It is a product that is extremely easy install, requires little or no maintenance. Once the ice has broken and is cleared from the mat, it will provide a safer slip-resistant mat to walk on throughout the winter months. The textured cover material is not only slip resistant, it also picks up the rays of the sun and eliminates ice from forming during the day light hours.



Top view



Front view

ICE BREAKER MFT

John L. Carrier  
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(920) 372-4221

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